

011404

15392 U.S. PTO

Express Mail Mailing Label No. EV399912114US

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

BSC-124DV

First Named Inventor

Gellman

Title

Shear-Sensitive Injectable Delivery System

31355 U.S. PTO  
10757159

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**APPLICATION ELEMENTS****ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**1. ☒ Fee Transmittal Form2. ☐ Small Entity Status☐ Applicant claims small entity status☐ Status established in prior application and is still proper and desired3. ☒ Specification and Drawings [Total Pages 24]

- Written Description - (12 pages)

- Claims - (4 pages)

- Abstract - (1 page)

- Sheets of Drawings -

☒ Formal (7 sheets)☒ Informal (7 sheets)4. ☒ Oath or Declaration [Total Pages 3]a. ☐ Newly executed (original)b. ☒ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

5. ☐ Incorporation by Reference (usable if Box 4b is checked)

The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet7. ☐ Nucleotide and/or Amino Acid Sequence Submission☐ Computer Readable Form (CRF)☐ Paper Copy (identical to computer copy)☐ CD (2 copies) (identical to computer copy)☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations11. ☒ Preliminary Amendment12. ☒ Return Receipt Postcard (specifically itemized)13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)15. ☐ CD in duplicate for large table or computer program16. ☒ Other:  
Transmittal of Formal Drawings17. ☒ If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a☐ continuation ☒ divisional ☐ continuation-in-part of prior application Serial No. 09/487,436, filed on January 19, 2000, the entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: Kevin C. Sirmons. Group/Art Unit: 3763.

18. ☐ Priority - 35 U.S.C. 119☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_/\_\_\_\_ on \_\_\_\_.☐ The certified copy will follow.**CORRESPONDENCE ADDRESS**Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
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Customer No. 021323**SIGNATURE BLOCK**Date: January 14, 2004  
Reg. No. 35,393  
Tel. No.: (617) 248-7374  
Fax No.: (617) 248-7100

Respectfully submitted,

Robert J. Tosti  
Attorney for the Applicant  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
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3004528\_1

011404

15992 U.S. PTO

Express Mail Label: EV399912114US

# **FEE TRANSMITTAL** **FY 2004**

Complete if Known

Application Serial Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Gellman
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	BSC-124DV

## **METHOD OF PAYMENT**

- ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
- ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
- ☐ Applicant claims small entity status.

## **FEE CALCULATION**

### **1. FILING FEE**

Large Entity Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	\$770.00
340	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims 12 - 20 = x \$ 18.00 =

Independent Claims 2 - 3 = x \$ 86.00 =

☐ Multiple Dependent Claim(s), if any \$290.00 =

TOTAL: \$770.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) \$770.00

### **2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 86.00 =

☐ First Presentation of Multiple Dep. Claim + \$290.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
770	385	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 0.00

SUBTOTAL (1) \$770.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$) 770.00

## **CORRESPONDENCE ADDRESS**

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## **SIGNATURE BLOCK**

Date: January 14, 2004  
Reg. No.: 35,393  
Tel. No.: (617) 248-7374  
Fax No.: (617) 248-7100

Respectfully submitted,  
*Robert J. Testa*  
Robert J. Testa  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Gellman  
APPLICATION NO. Not yet assigned GROUP NO. Not yet assigned  
FILING DATE: Herewith EXAMINER: Not yet assigned  
TITLE: Shear-Sensitive Injectable Delivery System

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF FORMAL DRAWINGS**

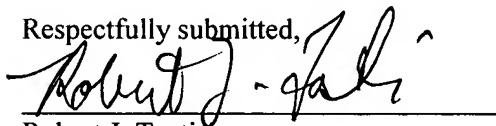
Sir:

Attached please find the formal drawings for this application, corresponding to Figs. 1, 2, 3A 3B, 3C, 3D, 3E, 4, 5, 6A, and 6B - Number of Sheets - 7.

Date: January 14, 2004  
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Respectfully submitted,



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